

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : SCOTT EDWARD KLOPFENSTEIN  
Serial No. : 10/092,213  
Filed : March 6, 2002  
For : METHOD AND APPARATUS FOR ADAPTIVELY  
STORING PROGRAM GUIDE DATA  
Art Unit : 2614

INFORMATION DISCLOSURE STATEMENT

**RECEIVED**  
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- ☒ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and
  - (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_, Date of Allowance \_\_\_\_\_"), and
  - (b) The required Certification is stated in item 11 below.

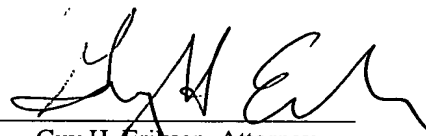
☒ 11 Certification

- ☒ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☐ 14 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

SCOTT EDWARD KLOPFENSTEIN

BY:



Guy H. Eriksen, Attorney  
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Enclosures

January 31, 2003

**Certificate Of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date: 31 JANUARY 2003 Signature   
Guy H. Eriksen

FEB 06 2003

PATENT &amp; TRADEMARK OFFICE

Sheet 1 of 1

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
PU010196SERIAL NO.  
10/  
092,213**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97**

(Use several sheets if necessary)

APPLICANT  
SCOTT EDWARD KLOPFENSTEINFILING DATE  
March 6, 2002GROUP  
2614

## U.S. PATENT DOCUMENTS

EXAMINE INITIAL	DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
AA	5,635,989	06/03/97	M. Rothmuller	348	563	
AB	5,867,226	02/02/99	K. R. Wehmeyer et al.	348	563	
AC	5,652,613	07/29/97	D. B. Lazarus et al.	348	7	
AD						
AE						
AF						
AG						
AH						
AI						

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No
AL						
AM						
AN						
AO						
AP						
AQ						

## OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)

AR	PCT Search Report dated: January 22, 2003.
AS	
AT	

EXAMINER

DATE CONSIDERED

SUBMITTED BY:

REG.NO.:

DATE:

GUY H. ERIKSEN

41,736

January 31, 2003